



Office/Factory
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PATRIOT ACT AML COMPLIANCE FORM

*PLEASE FULLY FILL OUT THE FORM AND MAIL BACK OR FAX TO : 718-425-9953

LEGAL BUSINESS NAME :

TRADING NAME OR DBA :

OWNERS / PRINCIPALS :

COMPANY ADDRESS :

CITY : STATE : ZIP :

TEL : FAX :

E-MAIL : WEBSITE :

FED. TAX ID # : RESALE # :

IS THIS COMPANY COMPLIANT WITH AML {ANTI-MONEY LAUNDERING} PURSUANT TO THE UNITED STATES PATRIOT ACT?

- Yes, We are in compliance with the PATRIOT Act as it concerns our industry (we have implemented an anti-money laundering program).
No, We are not in compliance with the PATRIOT Act as it concerns our industry (we have not implemented an anti-money laundering program).
Yes, We are exempt from the PATRIOT Act as far as it concerns our industry (we do not deal in more than \$50,000 worth of covered goods (jewels, precious metals, etc.) annually).

BY SIGNING BELOW I CERTIFY THAT ALL THE INFORMATION LISTED ABOVE IS TRUE AND THAT I WILL TAKE ALL STEPS NEEDED TO PROVE ITS VALIDITY IF NEEDED.

PRINT NAME : PRINT TITLE :

SIGNATURE : DATE :

"Each party agrees that the electronic signatures of the parties included in this Agreement are intended to authenticate this writing and have the same force and effect as manual signatures. Electronic signature means any electronic sound, symbol or process attached to or logically associated with a record and executed and adopted by the party with the intent to sign such record, including facsimile or e-mail electronic signatures."